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TO: EXAMINER ROBERT W. MORGAN  
ART UNIT 3626  
FAX: (571) 273-8300

FROM: JOHN PIENKOS  
APPLICANT, U.S. PATENT APPL. NO. 09/694,402  
FAX: (262) 643-4165

DATE: SEPTEMBER 1, 2011

RE: SUPPLEMENTAL IDS

NOTE: PLEASE NOTE THAT THIS FAX INCLUDES:

- 1) SUPPLEMENTAL IDS TRANSMITTAL
- 2) PTO FORM 1449 LISTING 6 U.S. PATENTS
- 3) CREDIT CARD PAYMENT FORM AUTHORIZING IDS FEE

5 PAGES INCLUDING COVER SHEET

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PATENT

I hereby certify that this correspondence is being faxed to Examiner Robert W. Morgan at the U.S. Patent and Trademark Office at (571) 273-8300 on the following date: 9/1/11 [Signature]

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pienkos, John T.

Serial No.: 09/694,402

Filing Date: October 22, 2000

Title: SYSTEM AND METHOD FOR PROVIDING  
REDUCED INSURANCE PREMIUMS

Examiner: Robert W. Morgan

Art Unit: 3626

SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

09/02/2011 JVONG1 00000020 09694402

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100.00 OP

Dear Sir:

The enclosed documents are being submitted in the above-identified Application in compliance with 37 CFR 1.97 & 1.98:

- ☐ (1) Pursuant to 37 CFR 1.97(b)  
(within 3 months of filing or prior to mailing of 1<sup>st</sup> Office Action)
- ☐ (2) Certification Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ (3) Fee Payment Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☒ (4) Petition, Certification & Petition Fee Payment Pursuant to 37 CFR  
1.97(d) (before or on issue fee payment)
- ☒ (5) A list of documents on Form PTO-1449

This paper is submitted in accordance with:

- ☐ (6) 37 CFR 1.97(b) (within 3 months of filing or prior to 1<sup>st</sup> Office Action)
- ☐ (7) 37 CFR 1.97(c) (before Final Office Action or Allowance, whichever  
is earlier); and

- ☐ (8) The required certification made in item 11 below; or
- ☐ (9) The \$240.00 fee specified in 36 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☒ (10) 37 CFR 1.97(d) (before or on issue fee payment); and
- ☒ [a] This is a petition for consideration of the subject Information Disclosure Statement. The petition fee of \$180.00 required by 37 CFR 1.17(p) is authorized in item 14 below.
- ☒ [b] The required Certification is stated in item 11 below.
- ☒ (11) Certification
- ☐ (12) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☒ (13) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ (14) Payment of the petition fee of \$180.00 required by 37 CFR 1.17(p) by credit card is hereby authorized. Form PTO-2038 is attached.

Respectfully submitted,

Date: 9/1/2011

By: 

John T. Pienkos  
(262) 643-4165

SEP 01 2011

PTO/SB/08 (07-09)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/694,402
Filing Date	10/22/2000
First Named Inventor	JOHN THADDEUS PIENKOS
Art Unit	3626
Examiner Name	MORGAN, ROBERT W.
Attorney Docket Number	

[illegible][illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2.